SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Addres	ss of Reporting Persor	1	2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS]		tionship of Reporting Pers all applicable) Director	10% Owner
I (LASI) (EIISI) (MIDDIE) I			3. Date of Earliest Transaction (Month/Day/Year) 03/30/2015	X	Officer (give title below) Chief Scientific	Other (specify below) Officer
(Street) PRINCETON, NJ 08540			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than	rting Person
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) or Transaction Disposed Of (D) (Instr. 3, 4 and 5) 8) Code (Instr. 3)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/30/2015		A		31,092 ⁽¹⁾	A	\$ <mark>0</mark>	140,623	D	
Common Stock	03/31/2015		A		135 ⁽³⁾	A	\$14.44	140,758	D	
Common Stock	03/31/2015		F		18(2)	D	\$14.44	140,740	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Options	\$13.44	03/30/2015		Α		62,185 ⁽¹⁾		03/30/2015	03/30/2025	Common Stock	62,185	\$ <mark>0</mark>	62,185	D	

Explanation of Responses:

1. The award vests one-fourth immediately, one-fourth on March 30, 2016, one-fourth on March 30, 2017, and will be fully vested on March 30, 2018.

2. Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.

3. The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month.

/s/ Sara Bonstein, as attorney-

04/01/2015 in-fact for Petit Robert

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.