The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM D

### Notice of Exempt Offering of Securities

# OMB APPROVAL OMB 3235-Number: 0076 Estimated average burden hours per response: 4.00

1. Issuer's Identity

CIK (Filer ID Nu	nber) Previous Names	None	Entity Type
<u>0001100397</u>		ECTATIONS &	X Corporation
Name of Issue			Limited Partnership
Advaxis, Inc.	Great Expect	ations and Associates, Inc.	Limited Liability Company
Jurisdiction o			General Partnership
Incorporation/Orga	nization		Business Trust
DELAWARE			Other (Specify)
Year of Incorpora	tion/Organization		
X Over Five Years Ago			
Within Last Five Years (S	Specify Year)		
Yet to Be Formed			
2. Principal Place of Busines	s and Contact Information		
Name	of Issuer		
Advaxis, Inc.			
	Address 1	Stree	et Address 2
305 College Road East			
City	State/Province/Country	ZIP/PostalCode	Phone Number of Issuer
Princeton	NEW JERSEY	08540	609-452-9813
3. Related Persons			
Last Name	Firs	t Name	Middle Name
Moore	Thomas		
Street Address 1	Street	Address 2	
305 College Road East			
City		ince/Country	ZIP/PostalCode
Princeton	NEW JERSEY	08540	
<b>Relationship:</b> X Executive	Officer X Director Promote	PT	
Clarification of Response (if	Necessary):		
Last Name	Firs	t Name	Middle Name
Patton	James		
Street Address 1	Street A	Address 2	
305 College Road East			
City	State/Prov	ince/Country	ZIP/PostalCode
Princeton	NEW JERSEY	08540	1
<b>Relationship:</b> Executive (	Officer X Director Promote	r	

**Relationship:** Executive Officer X Director Promoter

Clarification of Response (if Necessary):

Appel         Roti         A.           Street Address 1         Street Address 2           305 College Road Last         ZIP/PostalCode           Pineeton         NEW JERSEY         08540           Relationship:         Executive Officer X Director         Promoter           Clarification of Response (if Necessary):         Middle Name         Middle Name           Street Address 1         Street Address 2         305 College Road Last         ZIP/PostalCode           Street Address 1         Street Address 2         305 College Road Last         ZIP/PostalCode           Princeton         NEW JERSEY         08540         ZIP/PostalCode           Relationship:         Executive Officer X Director Promoter         ZIP/PostalCode         Relationship:           Clarification of Response (if Necessary):         Tieret Address 2         305 College Road East         ZIP/PostalCode           Clarification of Response (if Necessary):         Street Address 1         Street Address 2         ZIP/PostalCode           Clarification of Response (if Necessary):         City         State/Province/Country         ZIP/PostalCode           Clarification of Response (if Necessary):         ZIP/PostalCode         Sinter Address 2         Sinter Address 2           SOS College Road East         Sinter Address 2         Sinter Addr	Last Name	First Name		Middle Name
305 College Road East     ZIP/PostalCode       Princeton     NEW JERSEY     00340       Relationship:     Executive Officer X Director Promoter     Middle Name       Clarification of Response (if Necessary):     Street Address 2     Middle Name       Clarification of Response (if Necessary):     Street Address 2     Middle Name       Street Address 1     Street Address 2     Middle Name       Clarification of Response (if Necessary):     Relationship: Executive Officer X Director Promoter     ZIP/PostalCode       Remain Richard     First Name     Middle Name       Street Address 1     Street Address 2     SIC College Road East       Sof College Road East     City     Street Address 2       Sof College Road East     Street Address 2     Bitter       Sof College Road East     City     Street Address 2       Sof College Road East     Street Address 2     Bitter       Clarification of Response (if Necessary):     Uitter     ZIP/PostalCode       Last Name     First Name     Middle Name       Rohman     John     Street Address 2     ZIP/PostalCode       Sof College Road East     City     Street Address 2     ZIP/PostalCode       Sof College Road East     Street Address 2     ZIP/PostalCode       Clarification of Response (if Necessary):     Uitter     ZIP/PostalCode </td <td></td> <td></td> <td>А.</td> <td></td>			А.	
CityStateProvince/CountryQEF/0PrinceonNEW JERSEY006540PrinceonNEW JERSEY006540Claification of Response (if Necessary):Indide NameLast NameFirst NameMiddle NameMcKearnThomasStreet Address 2305 College Road EastCityState/Province/CountryZIP/PostalCodePrinceonNEW JERSEY08540Relationship:Executive Officer X DirectorPromoterClaification of Response (if Necessary):Street Address 2205 College Road EastStreet Address 2205 College Road EastStreet Address 2205 College Road EastStreet Address 2205 College Road EastCityCityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:Executive Officer X DirectorPromoterClaification of Response (if Necessary):State/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540CityRohmanJohnStreet Address 2ZIP/PostalCodeStreet Address 1Street Address 2ZIP/PostalCode205 College Road EastCityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540State/Province/CountryZIP/PostalCodePrinceton of Response (if Necessary):Street Address 2ZIP/PostalCodeClaification of Response (if Necessary):Street Address 2ZIP/PostalCodeClaification of Response (if Necessary):Street		Street Address 2		
Princeton NEW JERSEY 08540 Relationship: Executive Officer X Director Promoter Clarification of Response (if Necessary):           Last Name         First Name         Middle Name           Street Address 1         Street Address 2         205 College Road East         ZIP/PostalCade           City         State/Province/Country         ZIP/PostalCade         205 College Road East         ZIP/PostalCade           City         State/Province/Country         ZIP/PostalCade         205 College Road East         ZIP/PostalCade           Clarification of Response (if Necessary):         East Name         First Name         Middle Name           Remain         Street Address 1         Street Address 2         205 College Road East         ZIP/PostalCade           City         State/Province/Country         ZIP/PostalCade         219/PostalCade         219/PostalCade           Princeton         NEW JERSEY         08540         219/PostalCade         219/PostalCade           Princeton         NEW JERSEY         08540         219/PostalCade         219/PostalCade           Relationship:         Executive Officer X Director         Promoter         219/PostalCade         219/PostalCade           Clarification of Response (if Necessary):         East Name         First Name         Middle Name           Street Address 1         Street Address 2         205 College Ro		State/Province/Country		7IP/PostalCode
Clarification of Response (if Necessary):           Last Name         First Name         Middle Name           McKearn         Thomas         3treet Address 2           305 College Road East         Street Address 2           205 College Road East         City           City         State/Province/Country         ZIP/PostalCode           Princeon         NEW JERSEY         085640           Relationship:         Executive Officer X Director         Promoter           Clarification of Response (if Necessary):         Item in the intervent of the intervent o	•	-	08540	
Last Name     First Name     Middle Name       McKearn     Thomas     Street Address 1     Street Address 2       303 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Image: Country of the state of the	<b>Relationship:</b> Executive Officer	X Director Promoter		
McKeam     Thomas       Street Address I     Street Address 2       05 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Street Address 1     Street Address 1       Street Address I     Street Address 2     Middle Name       Berman     Richard     ZIP/PostalCode       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     City     Street Address 2       So College Road East     Street Address 2     208540       Rothman     John     Street Address 1       Street Address 1     Street Address 2     208540       Rothman     John     Street Address 2       205 College Road East     Street Address 1     Street Address 2       205 College Road East     John     Street Address 2       205 College Road East     John     John       Street Address 1     Street Address 2     205540       Rotation of Response (if Necessary):     City     Promoter       Clarifica	Clarification of Response (if Necess	ary):		
McKeam     Thomas       Street Address I     Street Address 2       05 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Street Address 1     Street Address 1       Street Address I     Street Address 2     Middle Name       Berman     Richard     ZIP/PostalCode       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     City     Street Address 2       So College Road East     Street Address 2     208540       Rothman     John     Street Address 1       Street Address 1     Street Address 2     208540       Rothman     John     Street Address 2       205 College Road East     Street Address 1     Street Address 2       205 College Road East     John     Street Address 2       205 College Road East     John     John       Street Address 1     Street Address 2     205540       Rotation of Response (if Necessary):     City     Promoter       Clarifica				
305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Middle Name     Middle Name       Berman     Richard     Middle Name       Street Address 1     Street Address 2     08540       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     City     Street Address 2       Clarification of Response (if Necessary):     Street Address 1     Street Address 2       Clarification of Response (if Necessary):     City     Street Address 2       Clarification of Response (if Necessary):     City     Street Address 2       Clarification of Response (if Necessary):     City     Street Address 2       Sof College Road East     City     Street Address 2       Clarification of Response (if Necessary):     City     Street Address 2       Sof College Road East     Street Address 1     Street Address 2       Sof College Road East     Street Address 2     City       Street Address 1     Street Address 2     Street Add				Middle Name
CityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:Executive Officer X DirectorPromoterClarification of Response (if Necessary):Image: Constraint of Response (if Necessary):Middle NameLast NameFirst NameMiddle NameBermanRichardStreet Address 2305 College Road EastStreet Address 208540ClityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:Executive Officer X DirectorPromoterClarification of Response (if Necessary):Street Address 2035 College Road EastStreet Address 1JohnStreet Address 2305 College Road EastStreet Address 208540ClityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship: X Executive OfficerDirectorPromoterClarification of Response (if Necessary):NEW JERSEY08540Relationship: X Executive OfficerDirectorPromoterClarification of Response (if Necessary):Street Address 2305 College Road EastStreet Address 1Street Address 2305 College Road EastJStreet Address 2Street Address 2305 College Road EastJStreet Address 2Street Address 2305 College Road EastJStreet Address 3Street Address 2305 College Road EastJStreet Address 1Street Address 2Street Address 2<		Street Address 2		
Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Middle Name       Berman     Richard     Middle Name       Street Address 1     Street Address 2     305 College Road East       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     08540     Middle Name       Last Name     First Name     Middle Name       Rotiman     John     Street Address 2       305 College Road East     City     State/Province/Country       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer Director     Promoter     08540       Relationship: X Executive Officer Director     Promoter     08540       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer Director	-			
Relationship: Executive Officer X Director Promoter       Clarification of Response (if Necessary):       Last Name     Middle Name       Berman     Richard     Middle Name       Berman     Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Middle Name       Middle Name       Rothman     John       Street Address 2       305 College Road East     City     Street Address 2       205 College Road East     City     Street Address 2       205 College Road East     City     Street Address 2       205 College Road East     John     Street Address 1       Street Address 1       Street Address 1     Street Address 2       305 College Road East     John     John       Street Address 2       305 College Road East     John       City     Street Address 2       305 College Road East     John       City     Street Address 2       305 College Road East     Street Address 2 <td>•</td> <td>C C</td> <td>00540</td> <td>ZIP/PostalCode</td>	•	C C	00540	ZIP/PostalCode
Clarification of Response (if Necessary):       Last Name     First Name     Middle Name       Berman     Richard     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship:     Executive Officer X Director     Promoter       Clarification of Response (if Necessary):     Iteret Address 2     305 College Road East       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Rothman     John     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     Iteret Address 1     Street Address 2       205 College Road East     J.     Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     Mark     J.     J.       Street Address 1     Street Address 2     305 College Road East     ZiP/PostalCode       City     State/Province/Country     ZIP/PostalCode     Princeton       NEW JERSEY     08540     Re			08540	
Last Name     First Name     Middle Name       Berman     Richard     Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540     Relationship:       Relationship:     Executive Officer X Director Promoter     08540       Clarification of Response (if Necessary):     Image: Clarification of Response (if Necessary):     Image: Clarification of Response (if Necessary):       Last Name     First Name     Middle Name       Rothman     John     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540     Relationship: X Executive Officer     Director       Princeton     NEW JERSEY     08540     Relationship: X Executive Officer     Director       Clarification of Response (if Necessary):     Image: Clarification of Response (if Necessary):     Image: Clarification of Response (if Necessary):       Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540    Relationship	<b>Relationship:</b> Executive Officer	X Director Promoter		
Bernan       Richard         Street Address 1       Street Address 2         305 College Road East       ZIP/PostalCode         Princeton       NEW JERSEY       08540         Relationship:       Executive Officer X Director       Promoter         Clast Name       First Name       Middle Name         Rothman       John       Street Address 2       205 College Road East         305 College Road East       Street Address 2       205 College Road East       ZIP/PostalCode         Princeton       NEW JERSEY       08540       ZIP/PostalCode         Princeton of Response (if Necessary):       ZIP/PostalCode       ZIP/PostalCode         Clast Name       First Name       Middle Name       John         Street Address 1       Street Address 2       Street Address 2       Street Address 3         Street Address 1       Street Address 2       Street Address 2       Street Address 4         Street Address 1       Street Address 2       Street Address 2       Street Address 3       Street Add	Clarification of Response (if Necess	ary):		
Bernan       Richard         Street Address 1       Street Address 2         305 College Road East       ZIP/PostalCode         Princeton       NEW JERSEY       08540         Relationship:       Executive Officer X Director       Promoter         Clast Name       First Name       Middle Name         Rothman       John       Street Address 2       205 College Road East         305 College Road East       Street Address 2       205 College Road East       ZIP/PostalCode         Princeton       NEW JERSEY       08540       ZIP/PostalCode         Princeton of Response (if Necessary):       ZIP/PostalCode       ZIP/PostalCode         Clast Name       First Name       Middle Name       John         Street Address 1       Street Address 2       Street Address 2       Street Address 3         Street Address 1       Street Address 2       Street Address 2       Street Address 4         Street Address 1       Street Address 2       Street Address 2       Street Address 3       Street Add	Last Name	First Name		Middle Name
305 College Road East           City         State/Province/Country         ZIP/PostalCode           Princeton         NEW JERSEY         08540           Relationship:         Executive Officer X Directo         Promoter           Clarification of Response (if Necessary):				
CityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:Executive Officer X DirectorPromoterClarification of Response (if Necessary):First NameMiddle NameRothmanJohnStreet Address 1Street Address 2305 College Road EastCityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:X Executive OfficerDirectorRelationship:X Executive OfficerDirectorRelationship:X Executive OfficerDirectorRelationship:X Executive OfficerDirectorRelationship:X Executive OfficerDirectorRelationship:X Executive OfficerDirectorTaret Address 1Street Address 2305 College Road EastJ.Street Address 1Street Address 2305 College Road EastJ.Street Address 1Street Address 2305 College Road EastJ.Street Address 1Street Address 2305 College Road EastJ.CityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship:X Executive OfficerDirectorPrinceton of Response (if Necessary):	Street Address 1	Street Address 2		
Princeton NEW JERSEY 08540 Relationship: Executive Officer X Director Promoter Clarification of Response (if Necessary): Last Name First Name Middle Name Rothman John Street Address 1 Street Address 2 305 College Road East City State/Province/Country ZIP/PostalCode Princeton NEW JERSEY 08540 Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary): Last Name First Name Middle Name Rosenblum Mark J. Street Address 1 Street Address 2 305 College Road East City State/Province/Country ZIP/PostalCode Princeton NEW JERSEY 08540 Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary): Last Name First Name Middle Name Rosenblum Mark J. Street Address 1 Street Address 2 305 College Road East City State/Province/Country ZIP/PostalCode Princeton NEW JERSEY 08540 Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary): 4. Industry Group Agriculture Health Care Retailing Banking & Financial Services X Biotechnology Restaurants Commercial Banking Health Insurance Technology	305 College Road East			
Relationship: Executive Officer X Director Promoter         Clarification of Response (if Necessary):         Last Name       First Name       Middle Name         Rothman       John         Street Address 1       Street Address 2         305 College Road East       City       State/Province/Country       ZIP/PostalCode         Princeton       NEW JERSEY       08540       Relationship: X Executive Officer       Director       Promoter         Clarification of Response (if Necessary):         Last Name       First Name       Middle Name         Roter Address 1       Street Address 2         Street Address 1       Street Address 2         OB State/Province/Country       ZIP/PostalCode         Princeton       NEW JERSEY       08540       Relationship: X Executive Officer       Director       Promoter         Clarification of Response (if Necessary):         Clarification of Response (if Necessary):	-	-		ZIP/PostalCode
Clarification of Response (if Necessary):       Last Name     First Name     Middle Name       Rothman     John     Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     Image: Control of Response (if Necessary):     Middle Name       Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country       Ziber Address 1     Street Address 2       305 College Road East     City     State/Province/Country       Vinceton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     Image: Context of The Context of T			08540	
Last Name     First Name     Middle Name       Rothman     John     Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     Image: City State/Province/Country ZIP/PostalCode       Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City State/Province/Country ZIP/PostalCode       City     Street Address 2       305 College Road East     City State/Province/Country City       City     State/Province/Country Size       Princeton     NEW JERSEY       Relationship: X Executive Officer     Director       Princeton     NEW JERSEY       4. Industry Group     4. Industry Group       Agriculture     Health Care     Retailing       Banking & Financial Services     X Biotechnology     Restaurants       Commercial Banking     Health Insurance     Technology	<b>Relationship:</b> Executive Officer	X Director Promoter		
Rothman     John       Street Address 1     Street Address 2       305 College Road East     ZIP/PostalCode       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Office     Director     Promoter       Clarification of Response (if Necessary)     Image: Street Address 2     Middle Name       Street Address 1     Street Address 2     Street Address 2       305 College Road East     Street Address 2     Image: Street Address 2       Street Address 1     Street Address 2     Street Address 2       305 College Road East     City     Street Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540     Street Address 2       Princeton of Response (if Necessary)     Promoter     ZIP/PostalCode       Princeton of Response (if Necessary)     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       4. Industry Group     Agriculture     Health Care     Retailing       Banking & Financial Services     X Biotechnology     Restaurants       Commercial Banking     Health Insurance     Technology	Clarification of Response (if Necess	ary):		
Street Address 1Street Address 2305 College Road EastCityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship: X Executive OfficeDirectorPromoterClarification of Response (if Necessary):Middle NameCast NameFirst NameMiddle NameRosenblumMarkJ.Street Address 1Street Address 2305 College Road EastZIP/PostalCodeCityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship: X Executive OfficeDirectorPromoterClarification of Response (if Necessary):08540Relationship: X Executive OfficeDirectorPromoterClarification of Response (if Necessary):AgricultureHealth CareRetailingBanking & Financial ServicesX BiotechnologyRestaurantsCommercial BankingHealth InsuranceTechnology	Last Name	First Name		Middle Name
305 College Road East     ZIP/PostalCode       City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Office     Director     Promoter       Clarification of Response (if Necessary):	Rothman	John		
CityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship: X Executive OfficerDirectorPromoterClarification of Response (if Necessary):		Street Address 2		
Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     Middle Name       Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):     4. Industry Group     Health Care     Retailing       Agriculture     Health Care     Retailing       Banking & Financial Services     X Biotechnology     Restaurants       Commercial Banking     Health Insurance     Technology				
Relationship: X Executive Officer       Director       Promoter         Clarification of Response (if Necessary):       Middle Name         Last Name       First Name       Middle Name         Rosenblum       Mark       J.         Street Address 1       Street Address 2         305 College Road East	5	-	00540	ZIP/PostalCode
Clarification of Response (if Necessary):       Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country       ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):			08540	
Last Name     First Name     Middle Name       Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):	Keauonsinp. A Executive Officer			
Rosenblum     Mark     J.       Street Address 1     Street Address 2       305 College Road East	Clarification of Response (if Necess	ary):		
Street Address 1       Street Address 2         305 College Road East       Image: College Road East         City       State/Province/Country       ZIP/PostalCode         Princeton       NEW JERSEY       08540         Relationship: X Executive Officer       Director       Promoter         Clarification of Response (if Necessary):       Promoter       Retailing         Agriculture       Health Care       Retailing         Banking & Financial Services       X Biotechnology       Restaurants         Commercial Banking       Health Insurance       Technology	Last Name	First Name		Middle Name
305 College Road East     City     State/Province/Country     ZIP/PostalCode       Princeton     NEW JERSEY     08540       Relationship: X Executive Officer     Director     Promoter       Clarification of Response (if Necessary):	Rosenblum	Mark	J.	
CityState/Province/CountryZIP/PostalCodePrincetonNEW JERSEY08540Relationship: X Executive OfficerDirectorPromoterClarification of Response (if Necessary):		Street Address 2		
Princeton NEW JERSEY 08540 Relationship: X Executive Officer Director Promoter Clarification of Response (if Necessary): 4. Industry Group Agriculture Health Care Retailing Banking & Financial Services X Biotechnology Restaurants Commercial Banking Health Insurance Technology	-			
Relationship: X Executive Officer Director Promoter         Clarification of Response (if Necessary):         4. Industry Group         Agriculture       Health Care       Retailing         Banking & Financial Services       X Biotechnology       Restaurants         Commercial Banking       Health Insurance       Technology	5	-	00 <b>-</b>	ZIP/PostalCode
Clarification of Response (if Necessary): 4. Industry Group Agriculture Health Care Retailing Banking & Financial Services X Biotechnology Restaurants Commercial Banking Health Insurance Technology			08540	
4. Industry Group Agriculture Health Care Retailing Banking & Financial Services X Biotechnology Restaurants Commercial Banking Health Insurance Technology	-			
AgricultureHealth CareRetailingBanking & Financial ServicesX BiotechnologyRestaurantsCommercial BankingHealth InsuranceTechnology	Clarification of Response (if Necess	ary):		
Banking & Financial Services     X Biotechnology     Restaurants       Commercial Banking     Health Insurance     Technology	4. Industry Group			
Banking & Financial ServicesX BiotechnologyRestaurantsCommercial BankingHealth InsuranceTechnology	Agriculture	Health Care	Retailing	
Commercial Banking Health Insurance Technology	0	X Biotechnology		
	Insurance		rechnology	

Investing	Hospitals & Physicians	Computers
Investment Banking	Pharmaceuticals	Telecommunications
Pooled Investment Fund	Other Health Care	Other Technology
Is the issuer registered as an investment company under	Manufacturing	Travel
the Investment Company	Real Estate	Airlines & Airports
Act of 1940?	Commercial	Lodging & Conventions
Yes No	Construction	Tourism & Travel Services
Other Banking & Financial Services	<b>REITS &amp; Finance</b>	Other Travel
Business Services	Residential	Other
Energy	Other Real Estate	Other
Coal Mining	Other Real Estate	
Electric Utilities		
Energy Conservation		
Environmental Services		
Oil & Gas		
Other Energy		

## 5. Issuer Size

Revenue Range	OR	Aggregate Net Asset Value Range
No Revenues		No Aggregate Net Asset Value
\$1 - \$1,000,000		\$1 - \$5,000,000
\$1,000,001 - \$5,000,000		\$5,000,001 - \$25,000,000
\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000
\$25,000,001 - \$100,000,000		\$50,000,001 - \$100,000,000
Over \$100,000,000		Over \$100,000,000
X Decline to Disclose		Decline to Disclose
Not Applicable		Not Applicable

## 6. Federal Exemption(s) and Exclusion(s) Claimed (select all that apply)

Rule 504(b)(1) (not (i), (ii) or (iii)		Rule 505	
Rule 504 (b)(1)(i)	Х	Rule 506	
Rule 504 (b)(1)(ii)		Securities Act Sec	tion 4(5)
Rule 504 (b)(1)(iii)		Investment Compa	any Act Section 3(c)
		Section 3(c)(1)	Section 3(c)(9)
		Section 3(c)(2)	Section 3(c)(10)
		Section 3(c)(3)	Section 3(c)(11)
		Section 3(c)(4)	Section 3(c)(12)
		Section 3(c)(5)	Section 3(c)(13)
		Section 3(c)(6)	Section 3(c)(14)
		Section 3(c)(7)	

## 7. Type of Filing

- X New Notice Date of First Sale 2011-05-12 First Sale Yet to Occur Amendment
- 8. Duration of Offering

Does the Issuer intend this offering to last more than one year? Yes X No

9. Type(s) of Securities Offered (select all that apply)			
Equity X Debt X Option, Warrant or Other Right to Acquire Another Security			
X Security to be Acquired Upon Exercise of Option, Warrant o Other Right to Acquire Security	<sup>or</sup> X Other (describe)		
Ouler high to require becany	Common Stock issuable upon conversion o	f notes.	
10. Business Combination Transaction			
Is this offering being made in connection with a business comb a merger, acquisition or exchange offer?	pination transaction, such as Yes X No		
Clarification of Response (if Necessary):			
11. Minimum Investment			
Minimum investment accepted from any outside investor \$0 U	SD		
12. Sales Compensation			
Recipient Rec	cipient CRD Number None		
Rodman & Renshaw, LLC 164	415		
(Associated) Broker or Dealer X None (As	ssociated) Broker or Dealer CRD Number X N	lone	
None Nor	ne		
Street Address 1	Street Address 2		
1251 Avenue of the Americas 20th	h Floor		
City Stat	te/Province/Country	ZIP/Postal Code	
NY NE	W YORK	10020	
State(s) of Solicitation (select all that apply)All StatesFCheck "All States" or check individual StatesF	Foreign/non-US		
CALIFORNIA			
COLORADO			
CONNECTICUT			
GEORGIA			
ILLINOIS			
MASSACHUSETTS			
MINNESOTA			
NEW JERSEY			
NEW YORK			
NORTH CAROLINA			
,,,,,,,,			

13. Offering and Sales Amounts

Total Offering Amount		USD	or X Indefinite
Total Amount Sold	\$6,016,250	USD	
Total Remaining to be Sold		USD	or X Indefinite

Clarification of Response (if Necessary):

Advaxis, Inc. received an aggregate purchase price of \$6,016,250.00 in return for issuing to the investors (i) an aggregate of \$7,077,936.29 of its convertible promissory notes and (ii) warrants to purchase up to 23,593,122 shares of its common stock.

IF

7

### 14. Investors

Select if securities in the offering have been or may be sold to persons who do not qualify as accredited investors, and enter the number of such non-accredited investors who already have invested in the offering.

Regardless of whether securities in the offering have been or may be sold to persons who do not qualify as accredited investors, enter the total number of investors who already have invested in the offering:

15. Sales Commissions & Finder's Fees Expenses

Provide separately the amounts of sales commissions and finders fees expenses, if any. If the amount of an expenditure is not known, provide an estimate and check the box next to the amount.

Sales Commissions	\$360,975 USD	Estimate
Finders' Fees	\$0 USD	Estimate

Clarification of Response (if Necessary):

Rodman & Renshaw, LLC also received warrants to purchase an aggregate of 1,887,448 shares of Advaxis, Inc.'s common stock as part of its sales commission.

### 16. Use of Proceeds

Provide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to Item 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.

### \$0 USD Estimate

Clarification of Response (if Necessary):

No amount of the gross proceeds is anticipated to pay named executive officers, except that the issuer may use up to \$200,000 of the gross proceeds or other available funds for payments to the chief executive officer for prior outstanding amounts owed to

Signature and Submission

Please verify the information you have entered and review the Terms of Submission below before signing and clicking SUBMIT below to file this notice.

### Terms of Submission

In submitting this notice, each issuer named above is:

- Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in the accordance with applicable law, the information furnished to offerees.\*
- Irrevocably appointing each of the Secretary of the SEC and, the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brought against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes, or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.
- Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).

Each Issuer identified above has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

For signature, type in the signer's name or other letters or characters adopted or authorized as the signer's signature.

Issuer	Signature	Name of Signer	Title	Date
Advaxis,	/s/ Mark J.	Mark J.	Chief Financial Officer, Senior Vice President and	2011-05-27
Inc.	Rosenblum	Rosenblum	Secretary	2011-03-27

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

24

\* This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.