FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

0.5

hours per response:

5. Relationship of Reporting Person(s) to Issuer

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

1. Name and Address of Reporting Person

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name **and** Ticker or Trading Symbol

APPEL RONI					Advaxis, Inc. [ ADXS ]								X	Director	iic)	X	10% Owi	ner	
(Last) (First) (Middle) C/O ADVAXIS, INC., TECHNOLOGY CENTER OF NJ, 675 US HIGHWAY ONE					3. Date of Earliest Transaction (Month/Day/Year) 12/31/2005									Officer (g below)	ive title		Other (sp below)	pecify	
(Street) NORTH BRUNSWICK NJ 08902					4. If Amendment, Date of Original Filed (Month/Day/Year)								I	Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting Person					
(City)	?)	State)	(Zip)																
		•	Table I - Nor	า-Deriva	ative :	Securitie	s Acc	quired,	Dis	posed o	f, or B	ene	ficially C	wned					
Date				2. Transac Date (Month/Da		Execution if any	A. Deemed Execution Date, f any Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			Securities Beneficially Following	Beneficially Owned		Direct II Indirect E str. 4) C	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(A) (D)	or	Price	Transaction (Instr. 3 and	n(s) d 4)		"	1150.4)	
Common Stock 01/25/					/2006		P		238,09	5 .	A	\$0.185	2,620,760(1)		D				
Common Stock 11/0				11/03/2	/2006			I		355,528	(2)	A	\$0.19	2,976,288		D			
Common Stock 01/03.				/2007		P		1,000,000		A	\$0.2	3,976,288		D					
			Table II -			ecurities alls, war								ned					
Derivative Conversion		3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any (Month/Day/Yea	Code (Instr.		Derivative I		i. Date Exercisa Expiration Date Month/Day/Yea			7. Title and Amor Securities Under Derivative Secur (Instr. 3 and 4)		nderlying ecurity	lying Derivative Security (Instr. 5)		er of /e es ally ng d tion(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)		Date Exercisable		Expiration Date	Title	N	umber of hares		(Instr. 4)				
Option	\$0.217	12/31/2005		A		1,173,179		12/31/2005	(3)	04/01/2015	Commo	n   1	,173,179	\$0.217	2,287,5	23 <sup>(4)</sup>	D		

\$0.3549

\$0.4

\$0.1952

11/03/2006

11/03/2006

11/03/2006

Option

Warrants

Warrants

1. This amount does not include 355,528 shares previously held by Carmel Ventures Inc. of which the reporting person is the sole shareholder.

Ι

Ι

2. These shares were held by Carmel Ventures, Inc., which was dissolved during year 2006, and the assets are in the process of being transferred to Mr. Appel, a sole shareholder. Mr. Appel previously reported these shares as indirectly owned on Form 3.

11/02/2002

11/12/2004

11/12/2004

- 3. The options are fully vested.
- 4. This amount does not include (a.) warrants to purchase an aggregate 73,030 shares of common stock held directly by reporting person and included elsewhere in this report, and (b.) warrants to purchase an aggregate 413,441 shares of common stock and options to purchase an aggregate of 91,567 shares of common stock held by Carmel Ventures, Inc. of which the reporting person is the sole shareholder, and which are included elsewhere in this report.
- 5. These options were held by Carmel Ventures, Inc., which was dissolved during year 2006, and the assets are in the process of being transferred to Mr. Appel. Mr. Appel previously reported these shares as indirectly owned on Form 3. The options are fully vested. This amount does not include (a.) warrants to purchase an aggregate 73,030 shares of common stock held directly by reporting person and included elsewhere in this report, and (b.) warrants to purchase an aggregate 413,441 shares of common stock held by Carmel Ventures, Inc. of which the reporting person is the sole shareholder, and which are included elsewhere in this report.
- 6. These warrants were held by Carmel Ventures, Inc., which was dissolved during year 2006, and the assets are in the process of being transferred to Mr. Appel. Mr. Appel previously reported these shares as indirectly owned on Form 3.

/s/ Roni Appel

Stock

Stock

Commor

Common Stock

11/01/2012

11/10/2009

12/31/2009

91,567

355,528

57,913

\$0.3549

\$0.4

\$0.1952

01/10/2007

2,379,090<sup>(5)</sup>

428,558

486,471

D

D

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

91,567<sup>(5)</sup>

355,528<sup>(6)</sup>

57,913(6)