## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	STATEMENT OF CHANGES IN BEN
	obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securitie or Section 30(h) of the Investment Com

## **IEFICIAL OWNERSHIP**

es Exchange Act of 1934 npany Act of 1940

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* MCKEARN THOMAS J				2. Issuer Name <b>and</b> Ticker or Trading Symbol Advaxis, Inc. [ ADXS ]							(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
														Director			10% Ov	ner
(Last)	(F LEGE RO	irst)	(Middle)			ate of Earliest Tr 03/2016	ransa	action (Mo	onth/D	ay/Year)				Officer ( below)	give title		Other (s below)	pecify
000 001								<u></u>								=:::	(a)   1	
					4. If A	Amendment, Da	ate of	Original I	-iled (	(Month/Day	// Year)		Line)	dividual or Jo	oint/Group	Filing	(Спеск Арр	licable
(Street)		-													ed by One	Repo	ting Person	
PRINCE	TON N	J	08540													•	One Report	
,														Person				
(City)	(5	State)	(Zip)															
		Та	ble I - Non	-Deriv	ative	Securities	Acq	quired,	Disp	osed of	f, or	Bene	ficially	Owned				
1. Title of Security (Instr. 3) Date (Month/E				action 2A. Deemed Execution Date, if any (Month/Day/Year)			3. Transaction Code (Instr.4. Securitie Disposed ( 5)8)						5. Amoun Securities Beneficia Owned Fo Reported	s Ily pllowing	Form:	Direct I Indirect I str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
								Code	v	Amount	(/ (I	A) or D)	Price	Transacti (Instr. 3 a	tion(s)			insu. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	C	ansacti ode (Ins	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
(Instr. 3)	Derivative Security					of (D) (Inst					(inst	. 5 an	14)		Following Reported	ĩ		(instr. 4)

Explanation of Responses:

Option

\$7.71

1. This award relates to an annual grant awarded by the Compensation Committee following the completion of our 2016 Fiscal Year and is subject to the terms of our 2015 Incentive Plan. The award vests one-third on November 3, 2017, one-third on November 3, 2018, and will be fully vested on November 3, 2019.

(D)

Date Exercisable

11/03/2017

Expiration

11/03/2026

Date

Title

Commor Stock

/s/ Sara Bonstein, as attorneyin-fact for Thomas Mckearn

Amount or Number

Shares

25,000

\$<mark>0</mark>

of

11/07/2016

25,000

D

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

11/03/2016

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

Code

А

(A)

25,000<sup>(1)</sup>

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.