SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

1. Name and Address of Reporting Person [*] Gutierrez Andres					2. 19	2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Guuerrez Anures					-									Direct			10% Ov	· .		
	3 Г	3. Date of Earliest Transaction (Month/Day/Year)									r (give title)		Other (s below)	specify						
(Last) (First) (Middle)						05/04/2020									Executive Vice President & CMO					
305 COLLEGE ROAD EAST																				
						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) PRINCETON, NJ 08540													X Form filed by One Reporting Person							
															Form filed by More than One Reporting					
(City)	(S	itate)	(Zip)											Perso	n					
		Tab	le I - Non-	-Deriva	ative	e Sec	curities	s Ac	auired. D	isposed	o	f. or Bei	neficial	lv Owned	d					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature													7. Nature							
Date (Month/D						Execution D			Transaction Disposed Code (Instr. 5)		sed	Of (D) (Ins	tr. 3, 4 and	Securiti Benefici Owned	ies Form ially (D) o Following (I) (In ed ction(s)		n: Direct o or Indirect E nstr. 4) C	of Indirect Beneficial Ownership		
									Code V	Amou	nt	(A) or (D)	Price	Reporte Transac (Instr. 3				(Instr. 4)		
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
									, options					• • • • • •						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	ate, Ti C	Code (saction e (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				c	ode	v	(A)	(D)	Date Exercisable	Expiratio Date		Title	Amount or Number of Shares							
Options	\$0.66	05/04/2020			A		50,000		(1)	05/04/20	30	Common Stock	50,000	\$0	50,000		D			

1 Explanation of Responses:

1. This award relates to an incentive grant awarded by the Compensation Committee and is subject to the terms of our 2015 Incentive Plan. The award vests one-third on May 4, 2021, one-third on May 4, 2022, and will be fully vested on May 4, 2023.

/s/ Molly Henderson, as attorney-in-fact for Andres A.

Gutierrez

** Signature of Reporting Person Date

05/05/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.