## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|             |      |       |

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(h)                       |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Mayes, Gregory T. |   |  |   |  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Advaxis, Inc. [ ADXS ] |   |   |   |   |        |   |  |                 |                     | Check a                                | ll app<br>Direc  | licable)<br>tor   | ng Per | g Person(s) to Issuer  10% Owner   |  |  |
|---|---|--|---|--|---|---|---|---|---|--------|---|--|-----------------|---------------------|--|--|---|--------|--|--|--|
| (Last)  | ast) (First) (Middle)   |  |   |  |   | 3. Date of Earliest Transaction (Month/Day/Year) 05/31/2016 |   |   |   |        |   |  |                 |                     |  | Officer (give title below)  Chief Oper   |   | rating | Other (specify below) ating Officer                                      |  |  |
| (Street) PRINCE   |   |  | 08540<br>(Zip)                                    |  | 4. If   | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |   |   |   |        |   |  |                 |                     | .ine)                                  | rvidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |   |        |  |  |  |
|   |   | Tabl                                       | le I - Nor  | n-Deriv                                    | <b>/</b> ative  | Se  | curitie   | s Acc                                       | quired,                                 | Dis    | posed o   | f, or  | Bene            | efici               | ally O                                 | wne  | ed  |        |  |  |  |
| 1. Title of Security (Instr. 3)                             |   |  |   | 2. Transaction<br>Date<br>(Month/Day/Year) |   | ar)   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |   | 3.<br>Transaction<br>Code (Instr.<br>8) |        | 4. Securities Acquired (A)<br>Disposed Of (D) (Instr. 3, 5) |  |                 |                     | 4 and Sec<br>Bei<br>Ow                 |  | Amount of<br>curities<br>neficially<br>ned Following<br>ported                                  |        | wnership<br>n: Direct<br>or Indirect<br>nstr. 4)                         | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
|   |   |  |   |  |   |   |   | Code  | v                                       | Amount |   | (A) or<br>(D)  | Price           | Tran                |  | ction(s)<br>3 and 4)   |   |        | (111511.4)   |  |  |
| Common  | Stock   |  |   | 05/3                                       | 1/2016  | 6   |   |   | A                                       |        | 236(1   | )  | Α               | \$9                 | .26                                    | 121,490 D  |   |        |  |  |  |
| Common  | Stock   |  |   | 05/3                                       | 1/2016  | 6   |   |   | F                                       |        | 52(2)   |  | D               | \$9                 | .26                                    | 121,438 D  |   |        |  |  |  |
|   |   | Та   | able II - I                                       |  |   |   |   |   |   |        | sed of,<br>onvertib   |  |                 |                     |  | ned  |   |        |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution I<br>if any<br>(Month/Day | Date, Transacti<br>Code (Ins               |   |   | of Derive Security (A) of Disposor (D)                      | rative<br>rities<br>iired<br>r<br>osed<br>) | 6. Date E<br>Expiratio<br>(Month/D      | n Date |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (In:<br>and 4) |                 | str. 3              | 8. Pric<br>Deriva<br>Securi<br>(Instr. | tive<br>ty   | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | y C    | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |   | Coc  | Code  | e V   | (A)   | (D)   | Date<br>Exercisal                       |        | Expiration<br>Date  | Title  | or<br>Nun<br>of | ount<br>nber<br>res |  |  |   |        |  |  |  |

## **Explanation of Responses:**

- 1. The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month.
- 2. Represents shares forfeited by the reporting person in order to pay withholding taxes associated with the stock issuance.

/s/ Sara Bonstein, as attorney-06/01/2016 in-fact for Gregory Mayes

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.