FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|     | OMB APPROVAL        |           |  |  |  |  |  |  |  |  |  |
|-----|---------------------|-----------|--|--|--|--|--|--|--|--|--|
|     | OMB Number:         | 3235-0287 |  |  |  |  |  |  |  |  |  |
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0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| Advaxis, Inc.   ADXS     Check all applicable)   Director   1096 Own  |   |   |      |                  |                      | OI s   | Secu               | JII 30(II)   | or trie   | invesime            | il Coi                               | прапу Аст           | 01 1940  | ,              |                   |                   |  |   |  |   |  |  |
|---|---|---|------|------------------|----------------------|--|--------------------|--|-----------|---------------------|--------------------------------------|---------------------|--|----------------|-------------------|-------------------|--|---|--|---|--|--|
| (Last) (First) (Middle)  3. Date of Earliest Transaction (Month/Day/Year)  (Street)  PRINCETON, NJ 08540  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of (D) (Instr. 3, 4 and 5)  A \$6.47 236,004 D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned February (Month/Day/Year)  Table II - Derivative Securities Acquired (Month/Day/Year)  Table II - Title of Securities Acquired (Month/Day/Year)  Table II - Title of Sec | 1. Name and Address of Reporting Person* PETIT ROBERT |   |      |                  |                      |  | , ° ,              |  |           |                     |                                      |                     |  |                |                   | 1) /              |  |   |  |   |  |  |
| City       | (Last) (First) (Middle)                               |   |      |                  |                      | ` , ,  |                    |  |           |                     |                                      |                     |  |                |                   | X                 | Offic  | cer (give title<br>ow)  |  | Other (specify below)   |  |  |
| 1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  3. Transaction (Dorivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  3. Transaction Date (Month/Day/Year)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  5. Amount of Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  5. Amount of Disposed of (D) (Instr. 3, 4 and 5)  6. Ownership Form: Direct (D) (Instr. 4)  6. Date Exercisable and Expiration Date (Month/Day/Year)  8. Price of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  9. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 an  | PRINCETON, NJ 08540                                   |   |      |                  | 4. If                | 4. If Amendment, Date of Original Filed (Month/Day/Year) |                    |  |           |                     |                                      |                     |  |                | ne)               | Forn<br>Forn      | Form filed by One Reporting Person Form filed by More than One Reporting |   |  |   |  |  |
| Date (Month/Day/Year)    Date (Month/Day/Year)   Execution Date, if any   |   |   | Tab  | le I - No        | n-Deriv              | ative  | Se                 | curitie  | s Ac      | quired,             | Dis                                  | posed o             | f, or  | Bene           | eficia            | ally (            | Owne   | ed  |  |   |  |  |
| Common Stock  O7/31/2017  A 335(1) A \$6.47 236,004 D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3)  Conversion or Exercise Price of Derivative Security (Instr. 3)  One of Derivative Security (Instr. 3, 4 and 5)  One of Derivative Security (Instr. 3, 4 and 5)  One of Derivative Security (Instr. 3, 4 and 5)  One of Derivative Security (Instr. 3)  One of Derivative Security (Instr. 4)  | Date  |   |      |                  |                      |  | ay/Year) Execution |  |           | Transa<br>Code      | Transaction Disposed Code (Instr. 5) |                     |  |                |                   | 4 and S<br>B<br>O |  | Securities<br>Beneficially<br>Owned Following   |  | Form: Direct<br>(D) or Indirect                                   | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3) Price of Derivative Security (Instr. 3) Security Sec  |   |   |      |                  |                      |  |                    |  |           | Code                | v                                    | Amount              |  |                | Price             |                   | Transaction(s)   |   |  |   | (111511.4)   |  |
| (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security Se  | Common Stock 07/31/                                   |   |      |                  |                      | L/2017   | /2017              |  |           | A                   |                                      | 335(1)              | ) A  |                | \$ <del>6</del> . | 47 23             |  | 36,004  |  | D   |  |  |
| Derivative Security (Instr. 3) Price of Derivative Security (Security Security Securities Security Security Securities Security (Instr. 3 and 4) Security Securities Securities Security (Instr. 4) Securities Securities Securities Security (Instr. 4) Securities Security (Instr. 4) Securities Securities Security (Instr. 5) Security Securities Security (Instr. 4) Securities Securities Security (Instr. 4) Securities Secu  |   |   | Ta   | able II - I      | Derivat<br>(e.g., pı | ive S<br>uts, c  | ecu<br>alls        | rities<br>, warr   | Acquants, | ired, D<br>option   | ispo<br>s, co                        | sed of,<br>onvertib | or Be  | enefi<br>curit | ciall<br>ies)     | y Ov              | vned   |   |  |   |  |  |
| Amount or or Number Date Expiration of  | Derivative<br>Security                                | Conversion<br>or Exercise<br>Price of<br>Derivative | Date | Execution if any | Date, T              | Transa<br>Code (   |                    | on of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 |           | Expiration (Month/E | on Date                              | e<br>ar)            | Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instrand 4) |                | ount              | Deriv<br>Secu     | ivative<br>urity   | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction |  | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |

## Explanation of Responses:

1. The reporting person voluntarily purchases restricted stock directly from the Company at market price on the last trading day of the month pursuant to an arrangement that was approved by the Compensation Committee of the Company's Board of Directors in a manner that satisfied Exchange Act Rule 16b-3(d). The Company utilizes the net withholding method for each monthly purchase. The reporting person purchased a gross amount of 405 shares of which 70 shares were forfeited to pay withholding taxes associated with the stock issuance.

/s/ Sara Bonstein, as attorneyin-fact for Petit Robert

08/01/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.