FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APF	APPROVAL					
OMB Number:	3235-0287					

0.5

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Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  APPEL RONI				2. Issuer Name <b>and</b> Ticker or Trading Symbol Advaxis, Inc. [ ADXS ]					(Che	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last)		First)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/24/2019				— <sup>×</sup>	_	give title	10% Ow Other (s below)	-			
305 COL	LEGE RO	AD EAST		L			-46	Onininal File	l (N4	-() ( ··)	0.15	di dalerat an 1	-i-+10	(Ob   - A	U I- I -	
(Street) PRINCE	TON, N	IJ	08540	4.	If Ame	endment, Da	ate of	Original Filed	d (Month/Day	/Year)	6. Inc Line)	Form fil	oint/Group Filing ed by One Repo ed by More than	orting Person		
(City)	(5	State)	(Zip)									Person				
		Ta	ble I - Non-I	Derivati	ve Se	curities	Acc	uired, Di	sposed of	, or Ben	eficially	Owned				
Date			. Transactio Date Month/Day/	Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Code (Instr.   5)		d (A) or c. 3, 4 and	5. Amoun Securities Beneficia Owned Fo	es Form ally (D) o following (I) (In	n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership			
								Code V	Amount	(A) or (D)	Price	Reported Transacti (Instr. 3 a	on(s)	(	(Instr. 4)	
			Table II - De					iired, Disp options,				Owned				
Derivative Conversion Date Execuses (Month/Day/Year) if any		3A. Deemed Execution Date if any (Month/Day/Yea	Code		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date of Secur (Month/Day/Year) Underlyi Derivativ		7. Title and of Securiti Underlying Derivative (Instr. 3 and	ies g Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Options	\$0.31	10/24/2019		A		10,000(1)		10/24/2020	10/24/2029	Common Stock	10,000	\$0	10,000	D		

## **Explanation of Responses:**

1. This award relates to the annual long term incentive grant awarded by the Compensation Committee following the completion of our 2019 Fiscal Year and is subject to the terms of our 2015 Incentive Plan. The award vests one-third on October 24, 2020, one-third on October 24, 2021, and will be fully vested on October 24, 2022.

<u>/s/ Molly Henderson, as</u> <u>attorney-in-fact for Roni Appel</u>

10/25/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

 $Persons \ who \ respond \ to \ the \ collection \ of \ information \ contained \ in \ this \ form \ are \ not \ required \ to \ respond \ unless \ the \ form \ displays \ a \ currently \ valid \ OMB \ Number.$