FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 | |
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| Check this box if no longer subject to Section 16. Form 4 or Form 5 | STATEMENT OF |
|--|----------------|
| obligations may continue. See Instruction 1(b). | Filed pursuant |

CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* BERMAN RICHARD J | | | | | | 2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS] | | | | | | | | | Relationshi eck all app X Direc | olicable) | ng Person(s) to Is 10% O | | |
|--|--|------|---------------|---------|--|---|---|--|---------|-----|---|----------|------------|--|--|---|-----------------------------|--|---------|
| (Last) | (First) (Middle) | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 07/17/2014 | | | | | | | | | er (give title w) | | ther (elow) | specify |
| (Street) PRINCE' (City) | | | 08540 Zip) | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | Line | e) <mark>X</mark> Forn | or Joint/Group Filing (Check Applicable rm filed by One Reporting Person rm filed by More than One Reporting rson | | | | |
| | | Tabl | e I - No | n-Deriv | ative | Sec | curitie | s Ac | quired, | Dis | posed o | f, or I | 3ene | ficial | ly Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date (Month/D | | | | | Execution Date, | | | 3. 4. Securities Disposed Of Code (Instr. 8) | | | | | | Securi Benefi | cially I Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | | | | | Code | v | Amount | (A (C |) or)) | Price | Transa | action(s) 3 and 4) | | | |
| Common | Stock | | | 07/17 | //2014 | | | | A | | 50,000 | (1) | D | \$ <mark>0</mark> | 5 | 50,000 D | | | |
| | | Та | | | | | | | | | sed of, onvertib | | | | Owned | | | | |
| 1. Title of Derivative Security (Instr. 3) | tive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any | | | | saction e (Instr. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Exercisable Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amour or Numbe of | | unt | b. Price of Derivative Security Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owners Form: Direct or India (I) (Inst | D) ect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

1. Awarded as a grant of 50,000 restricted stock units (RSUs). These RSUs vest equally over twelve quarterly installments, such that the entire award is fully vested and not subject to forfeiture on October 31, 2016. To date, two quarters have vested representing 8,333 shares.

> /s/ Daniel O'Connor, as attorney-in-fact for Richard

Berman

** Signature of Reporting Person

Date

07/18/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.