SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Rosenblum Mark J	2. Date of Even Requiring State (Month/Day/Ye 01/05/2010	ement	3. Issuer Name and Ticker or Trac Advaxis, Inc. [ADXS]	ding Symbol				
(Last) (First) (Middle) TECHNOLOGY CENTRE OF NEW JERSEY			4. Relationship of Reporting Perso (Check all applicable) Director Officer (give title	on(s) to Issuer 10% Owne Other (spec	r (Mon	5. If Amendment, Date of Original Filed (Month/Day/Year)		
675 RT. 1, SUITE B113			X below) Chief Financial C	below)	6. Inc Appli	6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) NORTH NJ 08902 BRUNSWICK						,	v One Reporting Person v More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	or Indirect (I) (Instr. 5)		
Options to Purchase Common Stock	(1)	01/05/2020	Common Stock	1,000,000	0.13	D		
Explanation of Responses:								

1. Options granted to reporting person as of 1/5/10. One third of such options vested on the date of grant, one third will vest on 1/5/11 and the remaining one third will vest on 1/5/12.

/s/ Mark J. Rosenblum, 01/12/2010 Individually

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.